

# Boundary Superconductivity in the BCS Model

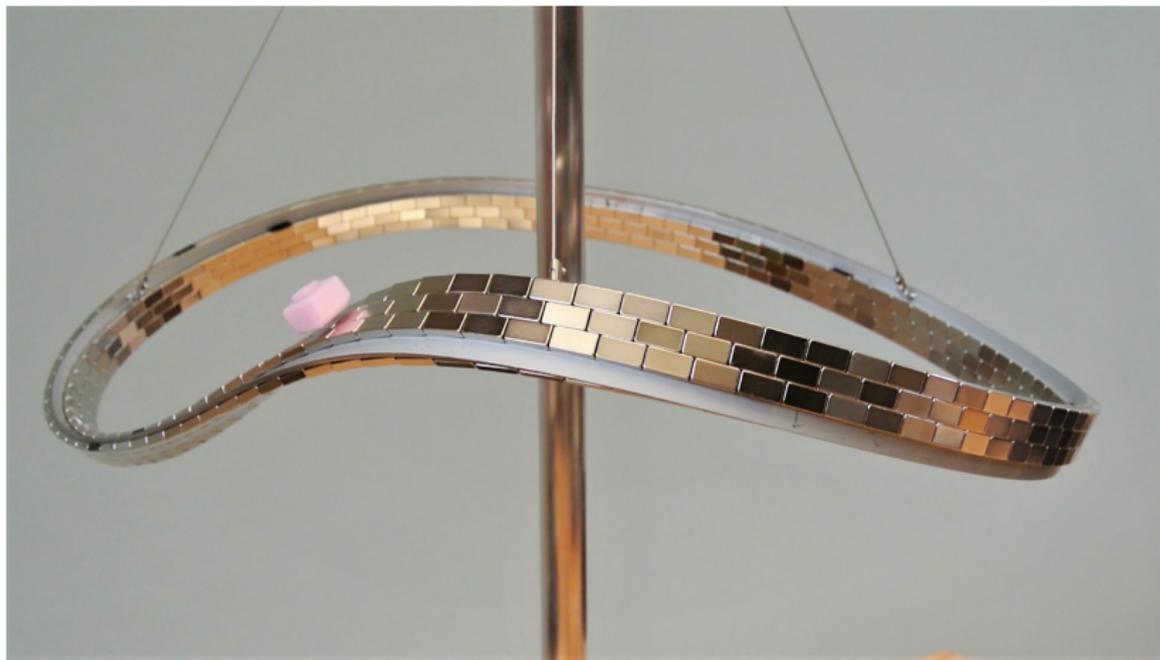
Venice 2022 - Quantissima in the Serenissima IV

Barbara Roos

August 16, 2022

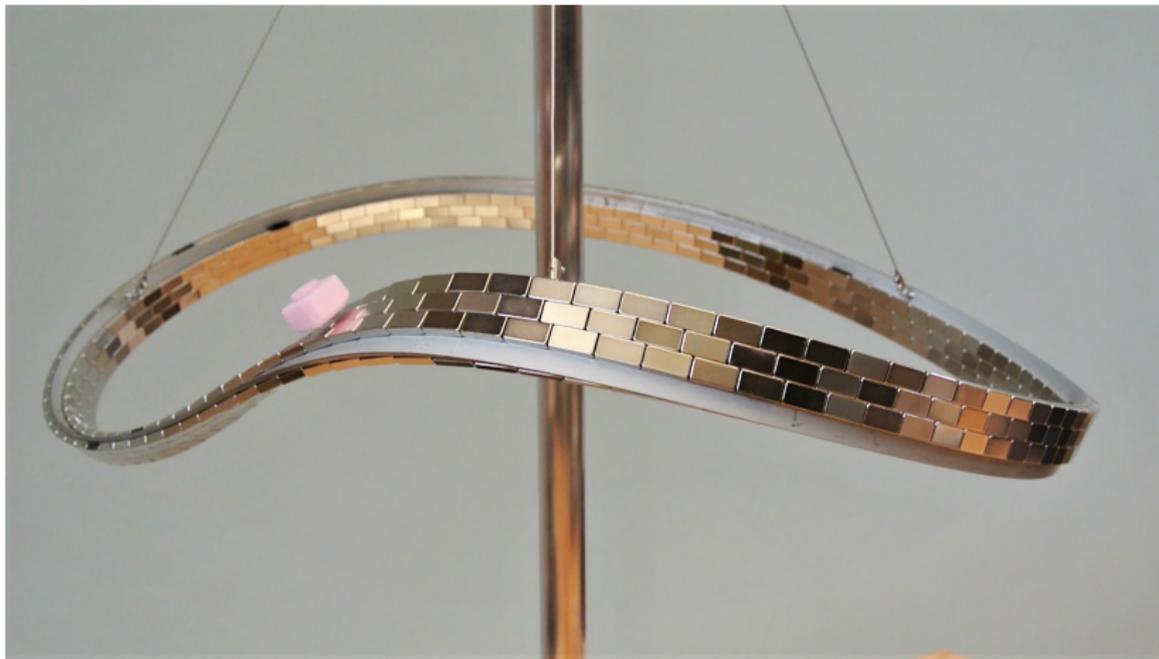
Based on joint work with Christian Hainzl and Robert Seiringer

arXiv:2201.08090 (to appear in Journal of Spectral Theory)



---

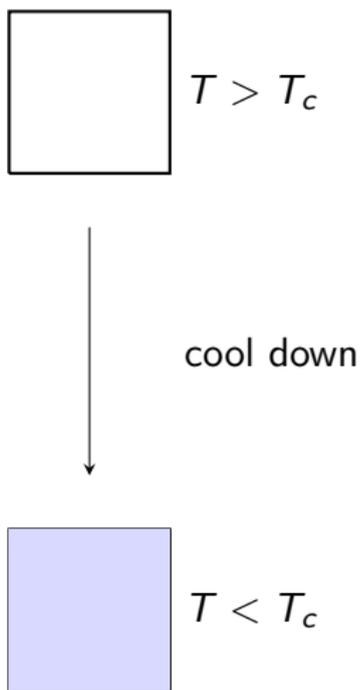
Source: [https://www.experimente.physik.uni-freiburg.de/E\\_Elektrizitaet\\_und\\_Magnetismus/dasmagnetischefeld/kraefteimmagnetfeld/supraleiteraufmoebiusband](https://www.experimente.physik.uni-freiburg.de/E_Elektrizitaet_und_Magnetismus/dasmagnetischefeld/kraefteimmagnetfeld/supraleiteraufmoebiusband)



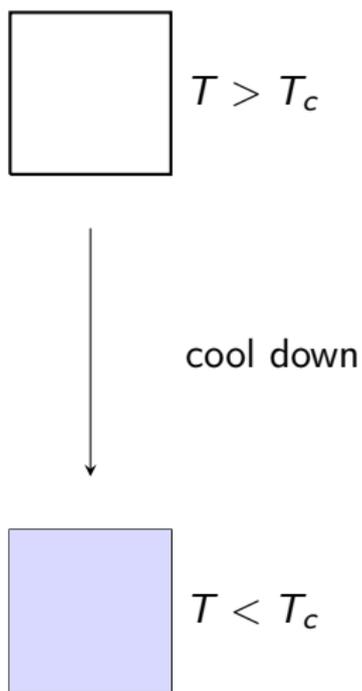
## BCS theory

---

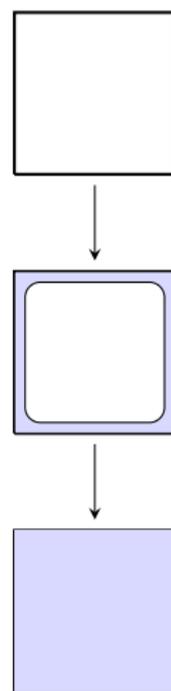
Source: [https://www.experimente.physik.uni-freiburg.de/E\\_Elektrizitaet\\_und\\_Magnetismus/dasmagnetischefeld/kraefteimmagnetfeld/supraleiteraufmoebiusband](https://www.experimente.physik.uni-freiburg.de/E_Elektrizitaet_und_Magnetismus/dasmagnetischefeld/kraefteimmagnetfeld/supraleiteraufmoebiusband)



[Abrikosov 1964, De Gennes 1964]



[Abrikosov 1964, De Gennes 1964]



[Samoilenka - Babaev 2020]

# BCS model

BCS functional  $\mathcal{F}_{T,\mu}(\gamma, \alpha)$ :

Superconductivity  $\Leftrightarrow \alpha \neq 0$  for minimizer  $(\gamma, \alpha)$

# BCS model

BCS functional  $\mathcal{F}_{T,\mu}(\gamma, \alpha)$ :

Superconductivity  $\Leftrightarrow \alpha \neq 0$  for minimizer  $(\gamma, \alpha)$

**Euler-Lagrange equation:** non-linear, one solution with  $\alpha = 0$

Is this solution the minimizer?

# BCS model

BCS functional  $\mathcal{F}_{T,\mu}(\gamma, \alpha)$ :

Superconductivity  $\Leftrightarrow \alpha \neq 0$  for minimizer  $(\gamma, \alpha)$

**Euler-Lagrange equation:** non-linear, one solution with  $\alpha = 0$

Is this solution the minimizer?

compute **Hessian**:

## Two-body operator

$$H_T^\Omega = \frac{-\Delta_x - \Delta_y - 2\mu}{\tanh\left(\frac{-\Delta_x - \mu}{2T}\right) + \tanh\left(\frac{-\Delta_y - \mu}{2T}\right)} + \lambda V(x - y)$$

acting in  $L_{\text{symm}}^2(\Omega \times \Omega)$ .

## Properties of $H_T^\Omega$

$\inf \sigma(H_T^\Omega) < 0 \Rightarrow$  solution with  $\alpha = 0$  not minimizer  $\Rightarrow$   
superconductivity

## Properties of $H_T^\Omega$

$\inf \sigma(H_T^\Omega) < 0 \Rightarrow$  solution with  $\alpha = 0$  not minimizer  $\Rightarrow$   
superconductivity

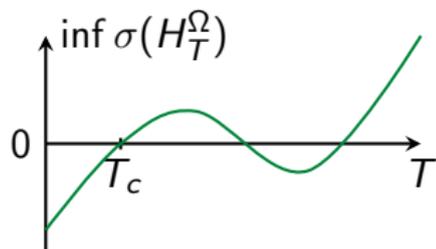
$\inf \sigma(H_T^\Omega) > 0 \rightarrow$  possibly local minimum  $\rightarrow$  inconclusive

# Properties of $H_T^\Omega$

$\inf \sigma(H_T^\Omega) < 0 \Rightarrow$  solution with  $\alpha = 0$  not minimizer  $\Rightarrow$  superconductivity

$\inf \sigma(H_T^\Omega) > 0 \rightarrow$  possibly local minimum  $\rightarrow$  inconclusive

Critical temperature:



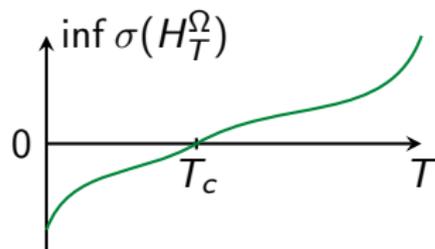
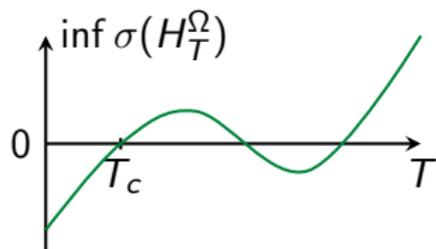
$T < T_c^\Omega \Rightarrow \inf \sigma(H_T^\Omega) < 0 \Rightarrow$  superconductivity

# Properties of $H_T^\Omega$

$\inf \sigma(H_T^\Omega) < 0 \Rightarrow$  solution with  $\alpha = 0$  not minimizer  $\Rightarrow$  superconductivity

$\inf \sigma(H_T^\Omega) > 0 \rightarrow$  possibly local minimum  $\rightarrow$  inconclusive

Critical temperature:



$T < T_c^\Omega \Rightarrow \inf \sigma(H_T^\Omega) < 0 \Rightarrow$  superconductivity

translation invariant system:  $T < T_c^\Omega \Leftrightarrow \inf \sigma(H_T^\Omega) < 0 \Leftrightarrow$  superconductivity [Hainzl-Hamza-Seiringer-Solovej, 2008]

# Result

Compare  $\Omega = \mathbb{R}_+$  with  $\Omega = \mathbb{R}$  for  $V = -\delta$ .

# Result

Compare  $\Omega = \mathbb{R}_+$  with  $\Omega = \mathbb{R}$  for  $V = -\delta$ .

## Theorem [Hainzl-R.-Seiringer 2022]

Let  $\mu > 0$  and assume Dirichlet boundary conditions on  $\mathbb{R}_+$ .

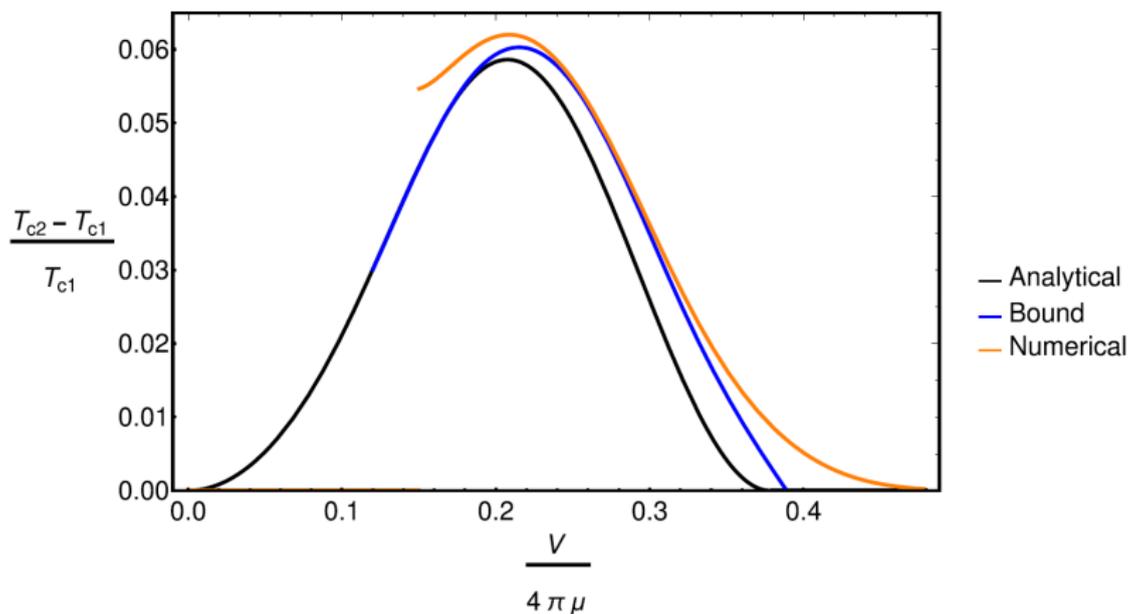
- There is a  $\tilde{\lambda} > 0$  such that for  $0 < \lambda < \tilde{\lambda}$

$$T_c^{\mathbb{R}_+}(\lambda) > T_c^{\mathbb{R}}(\lambda).$$

- In the weak and strong coupling limits

$$\lim_{\lambda \rightarrow 0, \infty} \frac{T_c^{\mathbb{R}_+}(\lambda) - T_c^{\mathbb{R}}(\lambda)}{T_c^{\mathbb{R}}(\lambda)} = 0.$$

# Dependence on coupling [Samoilenka - Babaev 2020]



# Neumann boundary

## Theorem [Hainzl-R.-Seiringer 2022]

Let  $\mu > 0$  and assume Neumann boundary conditions on  $\mathbb{R}_+$ .

- For all  $\lambda > 0$

$$T_c^{\mathbb{R}_+}(\lambda) > T_c^{\mathbb{R}}(\lambda).$$

- In the weak coupling limit

$$\lim_{\lambda \rightarrow 0} \frac{T_c^{\mathbb{R}_+}(\lambda) - T_c^{\mathbb{R}}(\lambda)}{T_c^{\mathbb{R}}(\lambda)} = 0.$$

- In the strong coupling limit

$$0 < \lim_{\lambda \rightarrow \infty} \frac{T_c^{\mathbb{R}_+}(\lambda) - T_c^{\mathbb{R}}(\lambda)}{T_c^{\mathbb{R}}(\lambda)} < \infty.$$

# References

- ▶ A. A. Abrikosov. Concerning Surface Superconductivity in Strong Magnetic Fields. *J. Exptl. Theoret. Phys. (U.S.S.R)*, 47(2):720–733, 1964.
- ▶ P. G. De Gennes. Boundary Effects in Superconductors. *Reviews of Modern Physics*, 36(1):225–237, Jan. 1964.
- ▶ C. Hainzl, E. Hamza, R. Seiringer, and J. P. Solovej. The BCS Functional for General Pair Interactions. *Communications in Mathematical Physics*, 281(2):349–367, July 2008.
- ▶ C. Hainzl, B. Roos and R. Seiringer. Boundary Superconductivity in the BCS Model. *arXiv:2201.08090 [math-ph, cond-mat.supr-con]*, Jan. 2022.
- ▶ A. Samoilenka and E. Babaev. Boundary states with elevated critical temperatures in Bardeen-Cooper-Schrieffer superconductors. *Physical Review B*, 101(13):134512, Apr. 2020.



# BCS model

## BCS functional

$$\mathcal{F}(\Gamma) = \text{Tr} (h - \mu)\gamma + T \text{Tr} \Gamma \ln \Gamma + \int \int_{\Omega \times \Omega} |\alpha(x, y)|^2 V(x - y) dx dy$$

- $\Gamma = \begin{pmatrix} \gamma & \alpha \\ \bar{\alpha} & 1 - \bar{\gamma} \end{pmatrix}$  self-adjoint operator on  $L^2(\Omega) \oplus L^2(\Omega)$
- 1-particle density matrix:  $\langle \phi | \gamma \psi \rangle = \text{Tr} a^\dagger(\psi) a(\phi) \rho$
- pairing expectation:  $\langle \phi | \alpha \psi \rangle = \text{Tr} a(\psi) a(\phi) \rho$

Minimize  $\mathcal{F}(\Gamma)$  over  $0 \leq \Gamma \leq 1$ .

Superconductivity  $\Leftrightarrow \alpha \neq 0$  for minimizer

# Proof strategy

$V = -\delta$ ,  $\Omega = \mathbb{R}, \mathbb{R}_+$  Dirichlet b.c.    Want:  $T_c^{\mathbb{R}_+}(\lambda) > T_c^{\mathbb{R}}(\lambda)$

Birman-Schwinger principle:

$$H_T^\Omega = K_T - \lambda\delta \quad \leftrightarrow \quad A_T^\Omega = \delta^{1/2} K_T^{-1} \delta^{1/2}$$

- get rid of boundary conditions
- reduce number of variables
- $\inf \sigma(H_T^\Omega) < 0 \Leftrightarrow \sup \sigma(A_T^\Omega) > \frac{1}{\lambda}$

Construct **trial state** such that  $\frac{\langle \psi, A_T^{\mathbb{R}_+} \psi \rangle}{\langle \psi, \psi \rangle} > \sup \sigma(A_T^{\mathbb{R}})$

→ works in weak coupling limit

# Momentum space representation of $A_T$

For  $\psi \in L^2(\mathbb{R})$

$$A_T^{\mathbb{R}}\psi(p) = \int_{\mathbb{R}} B_{T,\mu}(p, q)\psi(p)dq$$

and

$$A_T^{\mathbb{R}^+}\psi(p) = \int_{\mathbb{R}} B_{T,\mu}(p, q)(\psi(p) - \psi(q))dq$$

where

$$B_{T,\mu}(p, q) = \frac{1}{4\pi} \frac{\tanh\left(\frac{\left(\frac{p+q}{2}\right)^2 - \mu}{2T}\right) + \tanh\left(\frac{\left(\frac{p-q}{2}\right)^2 - \mu}{2T}\right)}{\left(\frac{p+q}{2}\right)^2 + \left(\frac{p-q}{2}\right)^2 - 2\mu}$$

# Maximizer of $A_T^{\mathbb{R}^+}$ [Samoilenka - Babaev 2020]

